

Chartered Banker

Leading financial professionalism

Providing Investment Advice

A. Aim of Subject:

To enable investment advisers to develop a range of investment advice skills, techniques and practices at a professional level which meet the requirements of the Financial Services Authority.

B. Subject Specific Learning Outcomes:

Outcome 1

Obtain appropriate client information and understand clients' needs, wants, values and risk profile essential to the financial planning process.

Outcome 2

Synthesise the range of client information, subjective factors and indicators to provide the basis for financial planning assumptions and decisions.

Outcome 3

Analyse a client's situation and the advantages and disadvantages of the appropriate options.

Outcome 4

Formulate suitable financial plans for action.

Outcome 5

Explain and justify recommendations.

Outcome 6

Implement, review and maintain financial plans to achieve the clients' objectives and adapt to changes in circumstances.